

# Data structures and algorithms

## Glossary of definitions and theorems

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## 1 Introduction, mathematics

**Definition 1.1** (The greatest integer (floor) function). The greatest integer function assigns an integer  $k$  to every real number  $x$ , that is the greatest of all integers not greater than  $x$ . In other words,  $k$  the *closest* integer that isn't greater than  $x$ . Formally:

$$\lfloor x \rfloor = \max\{k \in \mathbb{Z} \mid k \leq x\}, \tag{1}$$

in other words,  $k$  is the unique integer such that  $k \leq x < k + 1$ .

It is also known as the *integer part* or *integral part function*, denoted by  $\lfloor x \rfloor$ .

**Definition 1.2** (The least integer (ceil) function). The least integer function assigns an integer  $k$  to every real number  $x$ , that is the smallest of all integers not smaller than  $x$ . In other words,  $k$  the *closest* integer that isn't smaller than  $x$ . Formally:

$$\lceil x \rceil = \min\{k \in \mathbb{Z} \mid k \geq x\}, \quad (2)$$

in other words,  $k$  is the unique integer such that  $k - 1 < x \leq k$ .

**Definition 1.3** (The rounding function). The rounding function assigns the closest integer  $k$  to every real number  $x$ . If the closest integer is not unique (when the decimal part of the fraction is .5), the greater neighbor is chosen. Formally:

$$\text{Round}(x) = \left\lceil x + \frac{1}{2} \right\rceil \quad (3)$$

**Definition 1.4** (The fraction function or fractional part function). To every real number  $x$ , the fraction function assigns a real number  $\{x\}$ , which shows how much  $x$  is greater than its integer part. Formally,

$$\{x\} = x - \lfloor x \rfloor. \quad (4)$$

The fractional part always satisfies  $0 \leq \{x\} < 1$ .

**Definition 1.5** (Whole quotient, div operation). Let  $a$  and  $b$  be integers. We define the whole quotient (result of whole division) as

$$a \text{ div } b := \begin{cases} \lfloor \frac{a}{b} \rfloor & \text{if } b \neq 0, \\ \text{not defined} & \text{if } b = 0. \end{cases} \quad (5)$$

**Definition 1.6** (Whole remainder, mod operation). Let  $a$  and  $b$  be integers. We define the whole remainder as

$$a \text{ mod } b := \begin{cases} a - (a \text{ div } b) \cdot b = a - \lfloor \frac{a}{b} \rfloor \cdot b, & \text{if } b \neq 0, \\ a & \text{if } b = 0. \end{cases} \quad (6)$$

By convention,  $x \text{ mod } 1 := \{x\}$ , and is defined for every real number  $x$ .

**Theorem 1.7** (Number of digits). Suppose  $x$  is an integer (suppose it is given in base ten) that we wish to write in base  $b$ , that is, in the form

$$x = c_n c_{n-1} \dots c_1 c_0_{(b)}. \quad (7)$$

Then the number of required digits is  $n + 1 = \lfloor \log_b x \rfloor + 1$ .

## 2 Data

**Definition 2.1** (Data). A (relevant) piece of information or detail used to qualify or quantify someone or something.

**Definition 2.2** (Abstract data). Abstract data is an element of a specified, feasible set. The feasible set contains all possible values (that may be used to quantify an object and that we may use in calculations) according to the mathematical model.

**Definition 2.3** (Abstract data type). Abstract data type consists of the feasible set of abstract data and the set of operations defined on this set.

**Definition 2.4** (Data structure). A specific, complete implementation of an abstract data type, including the implementation of the data as well operations on it.

### 3 Program, algorithm

**Definition 3.1** (Algorithm). A specified, step-by-step calculation method, a tool for solving computation problems.

**Definition 3.2** (Recursion). An algorithm is recursive, if it calls itself with different (smaller) input.

**Definition 3.3** (Divide and conquer principle). A principle of planning algorithms, that creates the solution in the following steps:

- divide: divide the problem into independent, smaller subproblems of the same type.
- conquer: solve the subproblems, often recursively; handle a basic case separately.
- unite: combine partial solutions to solve the original big problem.

**Definition 3.4** (Dynamic programming). A principle of planning algorithms. It creates a sequence of (not independent) subproblems that build on each other and can be solved in sequence, using the previous results. It is often used in optimization problems.

**Definition 3.5** (Input size, problem size). Let  $A$  be (an implementation of) an algorithm. Let  $D$  be the set of possible inputs, and  $x \in D$  a given input. We define the input size  $|x|$  as the number of bits  $x$  is stored on, given a specific data structure. We always have  $|x| \in \mathbb{N}$ .

**Definition 3.6** (Time and storage requirement). Again, let  $A$  be (an implementation of) an algorithm,  $D$  be the set of possible inputs, and  $x \in D$  a given input. We denote by  $t_A(x)$  the *time requirement* (in number of operations required) when  $A$  is run on input  $x$ . Analogously, we denote by  $s_A(x)$  the *storage requirement* (in number of bytes occupied in memory) when  $A$  is run on input  $x$ .

**Definition 3.7** (Time complexity). Again, let  $A$  be (an implementation of) an algorithm,  $D$  be the set of possible inputs. We define the *time complexity* of  $A$  as

$$T_A(n) := \max_{\substack{x \in D \\ |x| \leq n}} t_A(x), \tag{8}$$

that is, the largest possible runtime on inputs of size at most  $n$ .

**Definition 3.8** (Storage complexity). Again, let  $A$  be (an implementation of) an algorithm,  $D$  be the set of possible inputs. We define the *storage complexity* of  $A$  as

$$S_A(n) := \max_{\substack{x \in D \\ |x| \leq n}} s_A(x), \tag{9}$$

that is, the largest possible storage required for inputs of size at most  $n$ .

### 4 Growth rates

**Definition 4.1** (Growth rates, *order* of functions). Let  $f : \mathbb{N} \rightarrow \mathbb{R}^+$  be a function, we may describe its growth rate as some of the following:

1. *big O notation*. Se say that  $f(n) = O(g(n))$ , “ $f(n)$  is *big ‘O’* (of)  $g(n)$ ”, if there exists a constant  $c > 0$  and a threshold  $n_0 \in \mathbb{N}$  such that for all  $n \geq n_0$ ,  $f(n) \leq c \cdot g(n)$ , in other words,  $\frac{f(n)}{g(n)} \leq c$ , the sequence of fractions is *bounded from above*.
2. *small/little O notation*. Se say that  $f(n) = o(g(n))$ , “ $f(n)$  is *small/little ‘O’* (of)  $g(n)$ ”, if for all constants  $c > 0$ , there exists a threshold  $n_0 \in \mathbb{N}$  such that for all  $n \geq n_0$ ,  $f(n) \leq c \cdot g(n)$ , in other words,  $\frac{f(n)}{g(n)} \rightarrow 0$  as  $n \rightarrow \infty$ .

3. *big Omega notation (Knuth)*. We say that  $f(n) = \Omega(g(n))$ , “ $f(n)$  is *big ‘Omega’ (of)  $g(n)$* ”, if there exists a constant  $c > 0$  and a threshold  $n_0 \in \mathbb{N}$  such that for all  $n \geq n_0$ ,  $f(n) \geq c \cdot g(n)$ , in other words,  $\frac{f(n)}{g(n)} \geq c$ , the sequence of fractions is *bounded from below*.
4. *small/little Omega notation*. We say that  $f(n) = \omega(g(n))$ , “ $f(n)$  is *small/little ‘Omega’ (of)  $g(n)$* ”, if for all constants  $c > 0$ , there exists a threshold  $n_0 \in \mathbb{N}$  such that for all  $n \geq n_0$ ,  $f(n) \geq c \cdot g(n)$ , in other words,  $\frac{f(n)}{g(n)} \rightarrow \infty$  as  $n \rightarrow \infty$ .
5. *(big) Theta notation*. We say that  $f(n) = \Theta(g(n))$ , “ $f(n)$  is *(big) ‘Theta’ (of)  $g(n)$* ”, if there exist constants  $0 < c_1 < c_2$  and a threshold  $n_0 \in \mathbb{N}$  such that for all  $n \geq n_0$ ,  $c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$ , in other words,  $c_1 \leq \frac{f(n)}{g(n)} \leq c_2$ , the sequence of fractions is *bounded*.

**Definition 4.2** (Some common growth rates).

constant	$f(n) = \Theta(1)$
linear	$f(n) = \Theta(n)$
quadratic	$f(n) = \Theta(n^2)$
cubic	$f(n) = \Theta(n^3)$
polynomial	$f(n) = \Theta(n^k)$ , for some $k \in \mathbb{R}^+$
logarithmic	$f(n) = \Theta(\log(n))$
exponential	$f(n) = \Theta(a^n)$ , for some $a > 1$

**Definition 4.3** (Polynomially faster growth). We say that  $f : \mathbb{N} \rightarrow \mathbb{R}^+$  grows polynomially faster than  $n^p$ , for  $p \geq 0$ , if there exists  $\varepsilon \in \mathbb{R}^+$  such that  $f(n) = \Omega(n^{p+\varepsilon})$ .

**Definition 4.4** (Polynomially slower growth). We say that  $f : \mathbb{N} \rightarrow \mathbb{R}^+$  grows polynomially slower than  $n^p$ , for  $p \geq 0$ , if there exists  $\varepsilon \in \mathbb{R}^+$  such that  $f(n) = O(n^{p-\varepsilon})$ .

**Definition 4.5** (Recursive equation). A recursive equation is a functional equation of some unknown function  $T : \mathbb{N} \rightarrow \mathbb{R}^+$ , where  $T(n)$  is given in terms of one or more  $T(k_i)$ , with  $k_i < n$ .

**Theorem 4.6** (The “master” theorem). Suppose we have a recursive equation

$$T(n) = a \cdot T\left(\frac{n}{b}\right) + f(n),$$

where  $T : \mathbb{N} \rightarrow \mathbb{R}^+$  is the unknown function,  $f : \mathbb{N} \rightarrow \mathbb{R}^+$  is a known function,  $a \geq 1$  and  $b > 1$  are known constants. (The theorem also holds with  $\lfloor \frac{n}{b} \rfloor$  or  $\lceil \frac{n}{b} \rceil$  in the place of  $\frac{n}{b}$ .)

Define  $p := \log_b(a)$  and the so-called *test polynomial*  $g(n) := n^p$ . Under specific conditions, we can determine the growth rate of  $T(n)$ :

1. If  $f(n)$  grows *polynomially slower* than  $g(n)$ , then

$$T(n) = \Theta(g(n)). \tag{10}$$

2. If  $f(n) = \Theta(g(n))$ , then

$$T(n) = \Theta(g(n) \cdot \log(n)). \tag{11}$$

3. Suppose  $f(n)$  grows *polynomially faster* than  $g(n)$ , as well as the so-called *regularity condition* holds for  $f$ , that is,

$$\text{exists } c < 1, c \in \mathbb{R}^+ \text{ and } n_0 \in \mathbb{N} \text{ such that for all } n \geq n_0, a \cdot f\left(\frac{n}{b}\right) \leq c \cdot f(n). \tag{12}$$

If both above conditions hold, then

$$T(n) = \Theta(f(n)). \tag{13}$$

## 4.1 Fibonacci numbers

**Definition 4.7** (Fibonacci numbers). The Fibonacci numbers is a number series defined recursively as

$$\left. \begin{array}{l} F_0 := 0 \\ F_1 := 1 \end{array} \right\} \text{ initial conditions} \quad (14)$$

$$n \geq 2: F_n := F_{n-1} + F_{n-2} \quad \text{recursive condition}$$

**Theorem 4.8** (Binet's formula). For any  $n \in \mathbb{N}$ , element  $F_n$  of the Fibonacci series can be obtained directly by the formula

$$F_n = \frac{1}{\sqrt{5}} \left( \Phi^n - \bar{\Phi}^n \right), \quad (15)$$

where

$$\Phi = \frac{1 + \sqrt{5}}{2} \approx 1.618 \quad \bar{\Phi} = \frac{1 - \sqrt{5}}{2} \approx -0.618$$

**Theorem 4.9** (Fibonacci numbers with rounding). Based on Binet's formula, for any  $n \in \mathbb{N}$ , the  $n$ th Fibonacci number  $F_n$  can be obtained as

$$F_n = \text{Round} \left( \frac{1}{\sqrt{5}} \Phi^n \right). \quad (16)$$

## 5 Algorithms in number theory

### 5.1 Divisibility, greatest common divisor

**Definition 5.1** (Divisibility). For integers  $d$  and  $a$ , we say that  $d$  (wholly) divides  $a$  and write  $d|a$ , if there exists an integer  $k$  such that  $k \cdot d = a$ . We may also say that  $d$  is a divisor of  $a$  or that  $a$  is a multiple of  $d$ .

**Definition 5.2** (Prime number). We call an integer  $p > 1$  a prime if its only positive divisors are 1 and  $p$  itself.

**Theorem 5.3** (Whole division with remainder). Let  $a \in \mathbb{Z}$  and  $n \in \mathbb{Z}^+$ . Then there exist a unique pair of  $q, r \in \mathbb{Z}$  such that  $0 \leq r < n$  that satisfy

$$a = q \cdot n + r.$$

We call  $q$  the quotient and  $r$  the remainder. They are also given by  $q = a \operatorname{div} n$ ,  $r = a \operatorname{mod} n$ .

**Definition 5.4** (Common divisor). We say that  $d \in \mathbb{Z}$  is a common divisor of  $a, b \in \mathbb{Z}$ , if it is a divisor of both, i.e.,  $d|a$  and  $d|b$ .

**Definition 5.5** (Linear combination). We say that  $s \in \mathbb{Z}$  is a linear combination of  $a, b \in \mathbb{Z}$ , if there exist  $x, y \in \mathbb{Z}$  such that  $s = x \cdot a + y \cdot b$ . We call  $x$  and  $y$  the coefficients of the linear combination. We denote by  $L(a, b)$  the set of all (numbers that are) linear combinations of  $a$  and  $b$ .

**Theorem 5.6** (Properties of the (common) divisor). Let  $d \in \mathbb{Z}$  be a common divisor of  $a, b \in \mathbb{Z}$ . Then

1.  $|d| \leq |a|$ , unless  $a = 0$ .
2. if both  $d|a$  and  $a|d$ , necessarily  $d = \pm a$ .
3.  $d$  is also a divisor of any linear combination of  $a$  and  $b$ , i.e., for any  $s \in L(a, b)$ ,  $d|s$ .

**Definition 5.7** (The greatest common divisor). For  $a, b \in \mathbb{Z}$ , we define their greatest common divisor as follows:

$$d^* = \gcd(a, b) := \begin{cases} 0, & \text{if } a = b = 0, \\ \max_{\substack{d|a \\ d|b}} d, & \text{otherwise.} \end{cases} \quad (17)$$

**Definition 5.8** (Relative primes). We say that  $a, b \in \mathbb{Z}$  are relative primes if  $\gcd(a, b) = 1$ .

**Theorem 5.9** (Elementary properties of the greatest common divisor). Let  $a, b \in \mathbb{Z}$  and  $d^* = \gcd(a, b)$ . Then

1.  $1 \leq d^* \leq \min\{|a|, |b|\}$  (unless  $a = b = 0$ , since then  $d^* = 0$  as well).
2.  $\gcd(a, b) = \gcd(b, a) = \gcd(a, -b) = \gcd(|a|, |b|)$ .
3.  $\gcd(a, 0) = a$ .
4. for  $k \in \mathbb{Z}$ ,  $\gcd(a, k \cdot a) = a$ .
5. if  $d$  is also a common divisor of  $a$  and  $b$  and  $d^* \neq 0$ , then  $d \leq d^*$ .
6. the greatest common divisor divides all linear combinations of  $a$  and  $b$ , i.e.,  $d^* | s$  for all  $s \in L(a, b)$ .

**Theorem 5.10** (Representation of the greatest common divisor). Let  $a, b \in \mathbb{Z}$ , and suppose not both are 0. Then their greatest common divisor is equal to their smallest positive linear combination. In formula:

$$d^* = \gcd(a, b) = \min_{\substack{s \in L(a, b) \\ s > 0}} s =: s^* = x^* \cdot a + y^* \cdot b, \quad (18)$$

where we also introduce the notation  $x^*, y^*$  for the coefficients of  $s^*$ .

**Theorem 5.11** (Description of the set of linear combinations). Let  $d^* := \gcd(a, b)$ , and the set of its multiples  $M := \{k \cdot d^*, k \in \mathbb{Z}\}$ . Then

$$L(a, b) \equiv M.$$

In words: all linear combinations of  $a$  and  $b$  are multiples of  $d^*$ , and vica versa.

**Theorem 5.12** (Reduction theorem). For any  $a, b \in \mathbb{Z}$ ,

$$\gcd(a, b) = \gcd(a - b, b).$$

**Theorem 5.13** (Recursion of the greatest common divisor). Let  $n, a \in \mathbb{Z}$ , then

$$\gcd(a, b) = \gcd(b, a \bmod b).$$

**Theorem 5.14** (Lamé). Suppose the input for the recursive Euclidean algorithm are  $a, b \in \mathbb{N}, a \geq b$ , and suppose  $b < F_{k+1}$  for some Fibonacci number (see Def 4.7). Then the number of recursive calls is less than  $k$ .

## 5.2 Congruence, linear congruence equation, multiplicative inverse

**Definition 5.15** (Congruence). For  $a, b \in \mathbb{Z}$  and  $n \in \mathbb{Z}, n \neq 0$ , we say that  $a$  and  $b$  are congruent modulo  $n$  and write  $a \equiv b \pmod{n}$ , if  $n | (a - b)$ , or equivalently, if  $(a \bmod n) = (b \bmod n)$  (in words,  $a$  and  $b$  have the same remainder when divided by  $n$ ).

**Theorem 5.16** (Operations with congruence). Let  $a, b, c, d, n \in \mathbb{Z}, n \neq 0$ , and suppose  $a \equiv b \pmod{n}$ ,  $c \equiv d \pmod{n}$ . Then

1.  $a \pm c \equiv b \pm d \pmod{n}$

2.  $a \cdot c \equiv b \cdot d \pmod n$
3. if  $k \in \mathbb{Z}$  such that  $k|a$ ,  $k|b$  and  $\gcd(k, n) = 1$ , then  $\frac{a}{k} \equiv \frac{b}{k} \pmod n$ .
4. for  $m|n$ ,  $a \equiv b \pmod m$ .

**Definition 5.17** (Linear congruence equation). We call the equation

$$a \cdot x \equiv b \pmod n, \tag{19}$$

with known constants  $a, b \in \mathbb{Z}$ ,  $n \in \mathbb{Z}^+$ , and unknown  $x \in \mathbb{Z}$ , the linear congruence equation.

**Theorem 5.18** (Solvability of the linear congruence equation). Consider the linear congruence equation (19), and let  $d^* = \gcd(a, n) = x^* \cdot a + y^* \cdot n$ .

1. If  $d^* \nmid b$ , the linear congruence equation has no solution.
2. If  $d^* | b$ , the linear congruence equation has infinitely many solutions, however all of them can be obtained from a system of  $d^*$  many *incongruent* solutions in  $[0, n)$ , by adding multiples of  $n$ . The incongruent solutions are:

$$\begin{aligned} x_0 &= x^* \cdot \frac{b}{d^*} \pmod n, \\ x_i &= x_0 + i \cdot \frac{n}{d^*} \pmod n \\ &= x_{i-1} + \frac{n}{d^*} \pmod n, \quad \text{for } i = 1, \dots, d^* - 1. \end{aligned} \tag{20}$$

**Definition 5.19** (Multiplicative inverse). Let  $a \in \mathbb{Z}$ ,  $n \in \mathbb{Z}^+$  such that  $\gcd(n, a) = 1$ , and consider the linear congruence equation

$$ax \equiv 1 \pmod n.$$

Given  $\gcd(n, a) = 1$ , the equation has a single solution  $x_0$  in  $[0, n)$ . We call this the *multiplicative inverse* of  $a$  modulo  $n$  and denote  $x_0 = a^{-1} \pmod n$ .

**Theorem 5.20** (Fermat's little theorem). If  $p$  is a prime number, then for all  $a = 1, \dots, p - 1$ ,

$$a^{p-1} \equiv 1 \pmod p.$$

## 6 Dynamic sets

**Definition 6.1** (Dynamic set). A dynamic set is a dataset that changes (elements are added, removed, modified) during the run of the algorithm using it.

**Definition 6.2** (Sequence). A sequence is a data structure where elements/items/records are stored in a linear order (whether physically, or as defined by the operations of the sequence). Typical operations are: search, insert, delete.

**Definition 6.3** (Array). An array is a data structure implementing the sequence that consists of consecutive memory bins. Each bin may store an individual data record, and is directly accessible by its index. The array has attributes head, end, length and arraysize. It supports operations search, insert and delete.

**Definition 6.4** (Linked list). A linked list is a data structure implementing the sequence. Records may be stored separately, but each element contains a pointer to the next, which establishes the linear order. It has attributes head, sometimes end. It supports operations search, insert, delete.

**Definition 6.5** (Queue (data structure)). The queue is a dynamic set where insertion and deletion may only happen at predefined points. The inserted element is the newest, and we always delete the oldest element. Its supported operations are insert (push) and delete (pop).

**Definition 6.6** (Stack (data structure)). The stack is a dynamic set where insertion and deletion may only happen at predefined points. We always remove the latest inserted element. Supported operations are push (insert) and pop (remove).

**Definition 6.7** (Hash table). The hash table is a dynamic set, but not a sequence. The table is allocated a continuous chunk of memory, and rows are accessible directly by index. Data records are assigned a row according to the so-called hash function of the key field. It supports operations search, insert and delete.

**Definition 6.8** (Conflict (in hash table)). If the hash function assigns the same value/row to two data records with different keys, we call it a conflict.

**Definition 6.9** (Open address hash table). The hash function takes two variables, the key  $k$  and the index of the trial  $t = 0, 1, \dots, N - 1$ . The sequence  $h(k, 0), h(k, 1), \dots, h(k, N - 1)$  is called the *search sequence*, and must contain all row indices  $i = 0, 1, \dots, N - 1$  in some order.

**Definition 6.10** (Cluster (in hash table)). A group of consecutive occupied rows in a hash table is called a cluster. The size of the cluster is the number of the rows in question.

## 7 Selection problem and sorting

**Definition 7.1** (The “selection problem”). Suppose a set  $A$  of  $n$  different numbers, and a rank  $1 \leq k \leq n$  are given. The task is to find the  $k$ th smallest element, that is, element  $x \in A$  so that exactly  $k - 1$  elements of  $A$  are smaller than  $x$ .

**Definition 7.2** (Median). The *median* of a dataset (of numbers) is the middle element of the (increasingly) *sorted* dataset. If the dataset has an odd number  $n = 2k + 1$  of elements, the median has rank  $k + 1$  in the sorted set. If the dataset has an even number  $n = 2k$  of elements, there are two middle elements, rank  $k$  and  $k + 1$ . (We call them the lower and the upper median.)

**Definition 7.3** (Descartes-product). For sets  $A$  and  $B$ , we define their Descartes-product as the set

$$A \times B = \{(a, b), a \in A, b \in B\}$$

containing all possible ordered pairs of elements.

**Definition 7.4** (Relation). Let  $A$  be a set. A relation on set  $A$  is a subset  $\varrho \subseteq A \times A$  ( $\varrho$  is the Greek letter “rho”). We say that  $a, b \in A$  are in  $\varrho$ -relation if  $(a, b) \in \varrho$ , and for short write  $a\varrho b$ .

**Definition 7.5** (Order relation (ordering)). We say that the relation  $\varrho \subseteq A \times A$  is an *order relation* (*ordering*) on  $A$  if:

- a) it is *reflexive*:  $a\varrho a$  for all  $a \in A$ ,
- b) it is *transitive*: if  $a\varrho b$  and  $b\varrho c$ , then also  $a\varrho c$ ,
- c) it is *antisymmetric*: if  $a\varrho b$  and  $b\varrho a$ , then  $a = b$ .

We say that  $\varrho$  is a complete or linear ordering, if on top of the above, also

- d\*) at least one of  $a\varrho b$  and  $b\varrho a$  holds for any  $a, b \in A$ .

**Definition 7.6** (Some properties that may be applied to sort algorithms).



- a) *sort in place*: the result replaces the original data, extra storage use is at most  $O(1)$ .
- b) *adaptivity*: the algorithm is able to exploit any pre-existing order among the keys (and reduce its runtime accordingly).
- c) *stability*: preserve original order of records with the same sorting key.
- d) *comparison-based*: the algorithm is based on comparing keys to other keys (as opposed to grouping keys by their values).

**Theorem 7.7** (Runtime and important properties of common sorting algorithms).

algorithm	time complexity	storage requirements	remarks
insertion sort	$O(n^2)$	sort in place	
selection sort	$O(n^2)$	sort in place	many comparisons, but few elements moved
bubble sort	$O(n^2)$	sort in place	
quicksort	$O(n \log(n)) \sim O(n^2)$	sort in place	
merge sort	$O(n \log(n))$	$O(n)$	can be used for externally stored data
counting sort/binsort	$O(n + m)$	$O(n + m)$	not comparison-based! $m$ is the number of possible values

**Theorem 7.8** (Lower bound on comparison-based sorting). No *comparison-based* sorting algorithm can reach time complexity below  $\Omega(n \log(n))$ .

**Theorem 7.9** (Stirling's formula). For  $n \geq 3$ , the factorial satisfies:

$$\frac{n^n}{e^n} < n! < \frac{(n+1)^{n+1}}{e^n}.$$

## 8 Graphs

### 8.1 Huffman code

**Definition 8.1** (Code (encoding)). Each character is given a (different) bit sequence, called its code.

**Definition 8.2** (Prefix code). A code that may have varying code lengths, but no code is a continuation of another.

### 8.2 Introduction to graphs

**Definition 8.3** (Graph (simple, undirected graph)). A graph is a pair  $G = (V, E)$ , where  $V$  is a finite set called the vertices, and  $E$  is a finite set of *unordered* pairs  $e = \{u, v\}$  from  $V$ , called the edges.

**Definition 8.4** (Directed graph (digraph)). A directed graph is a pair  $G = (V, E)$ , where  $V$  is a finite set and  $E$  is a set of *ordered* pairs  $e = (u, v)$  of  $V$  ( $E \subseteq V \times V$ ).

**Definition 8.5** (Weighted graph (network)). A graph (directed or undirected) becomes a weighted graph or network, when we assign a number, called *weight*, to each of its edges.

**Definition 8.6** (Walk, trail, path; circuit, cycle).

- A *walk* in a graph is a sequence of connecting edges:  $(v_0, v_1), (v_1, v_2), (v_2, v_3), \dots, (v_{n-1}, v_n)$ . It is possible to repeat both vertices and edges.

- A *trail* is a special walk where no edges are repeated, but vertices may be repeated.
- A *path* is a special trail where no vertices are repeated.
- A *circuit* is a closed trail: that ends in the same vertex where it started.
- A *cycle* is a closed path: the end vertex is the same as the start vertex.

**Definition 8.7** (Length of a path). In a weighted graph, the *length* of a path is the sum of weights of all edges alongside the path. Formally, if  $G = (V, E)$  and  $w : E \rightarrow \mathbb{R}$ , and  $P = (e_1, e_2, \dots, e_k)$ ,  $w(P) := \sum_{i=1}^k w(e_k)$ .

**Definition 8.8** (Neighbor).

- In an undirected graph, we say that vertices  $u$  and  $v$  are neighbors if  $\{u, v\} \in E$ .
- In a directed graph,  $v$  is an out-neighbor of  $u$  if  $(u, v) \in E$ , and an in-neighbor of  $u$  if  $(v, u) \in E$ . Together, we may refer to in- and out-neighbors simply as neighbors.

**Definition 8.9** (Adjacency matrix).

- For a directed graph on  $n$  vertices, the adjacency matrix  $\text{Adj}$  is an  $n \times n$  matrix, both its rows and columns indexed by the vertices. Its entries are

$$A_{u,v} = \begin{cases} 1, & \text{if } (u, v) \in E, \\ 0, & \text{otherwise.} \end{cases}$$

- For a weighted, directed graph on  $n$  vertices, the adjacency matrix  $\text{Adj}$  is an  $n \times n$  matrix, both its rows and columns indexed by the vertices. Its entries are

$$A_{u,v} = \begin{cases} w(u, v), & \text{if } (u, v) \in E, \\ \text{NIL}, & \text{otherwise.} \end{cases}$$

**Definition 8.10** (Shortest path and distance). In a weighted graph  $G = (V, E)$ , consider all paths  $P_i$  from  $u$  to  $v$ . The distance between  $u$  and  $v$  is the (weighted) length of the shortest path:

$$\delta(u, v) := \begin{cases} \min_i \{w(P_i)\} & \text{if at least one path } P_i \text{ exists,} \\ \infty & \text{otherwise.} \end{cases}$$

Any path  $P_i$  with length  $\delta(u, v)$  is a shortest path.

**Definition 8.11** (Negative cycle). In a directed, weighted graph, a negative cycle is a directed cycle with negative total weight.

**Theorem 8.12** (Some properties of algorithms for finding shortest paths in graphs).

Property	Bellman-Ford algorithm	Dijkstra algorithm	Floyd-Warshall algorithm
aim	shortest paths from a single source		shortest paths between all vertex pairs
assumptions	directed, weighted graph		
	no negative cycle	non-negative edge weights	no negative cycle
graph implementation	edge list (or neighbor list)	neighbor lists	adjacency matrix
runtime	$O( V  \cdot  E )$	$O( E  \cdot \log( V ))$	$O( V ^3)$
storage requirement	$O( V )$	$O( V )$	$O( V ^2)$

## 9 Algorithms

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**Algorithm 1** Extended Euclidean algorithm (recursive version)

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```
1: EXT_EUCL_REC(a,b,@d,@x,@y)
2: // INPUT:  $a, b \in \mathbb{N}$ ,  $a \geq b$ 
3: // OUTPUT:  $d = \gcd(a, b)$ 
4: // OUTPUT:  $x, y \in \mathbb{Z}$  coefficients of linear combination  $d = x \cdot a + y \cdot b$ 
5: IF  $b > 0$  THEN
6:    $q \leftarrow \lfloor \frac{a}{b} \rfloor$ 
7:    $r \leftarrow a - b \cdot q$ 
8:    $(d, x\_old, y\_old) \leftarrow \text{EXT\_EUCL\_REC}(b, r, @d, @x, @y)$ 
9:    $x \leftarrow y\_old$ 
10:   $y \leftarrow x\_old - y\_old \cdot q$ 
11: ELSE
12:   $d \leftarrow a$ 
13:   $x \leftarrow 1$ 
14:   $y \leftarrow 0$ 
15: RETURN(d,x,y)
```

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**Algorithm 2** Modular exponentiation

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```
1: MOD_EXP(a,b,n,@c)
2: // INPUT:  $a, b, n \in \mathbb{Z}$  // Suppose  $b$  is given in binary:  $b = b_k b_{k-1} \dots b_1 b_0_{(2)}$ .
3: // OUTPUT:  $c = (a^b \bmod n) \in \mathbb{Z}$ 
4:  $c \leftarrow 1$ 
5: FOR  $i \leftarrow k$  DOWNTO 0 DO
6:    $c \leftarrow c^2 \bmod n$ 
7:   IF  $b_i = 1$  THEN
8:      $c \leftarrow c \cdot a \bmod n$ 
9: RETURN(c)
```

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**Algorithm 3** Generation of RSA keys

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```
1: GEN_RSA_KEYS(p,q,e,@P,@S)
2: // INPUT: p,q primes, e ≥ 3 small odd integer
3: // OUTPUT: P private key and S secret key, if they exist
4: n ← pq
5: f ← (p - 1)(q - 1)
6: IF gcd(e, f) ≠ 1 THEN
7:   RETURN("Keys don't exist.") // Or P ← NULL, S ← NULL, RETURN(P,S)
8: d ← e-1 mod f // X ← SOLVER_LCE(e,1,f,@X), d ← X0
9: P ← (e,n)
10: S ← (d,n)
11: RETURN(P,S)
```

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**Algorithm 4** Linear search in array

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```
1: LIN_SEARCH(A,k,@x)
2: // INPUT: A array, k key to be found
3: // OUTPUT: x: index of a record that key[Ax] = k, or 0 if no such record exists
4: IF length[A] = 0 THEN
5:   x ← 0
6: ELSE
7:   x ← head[A]
8:   WHILE x ≤ end[A] AND key[Ax] ≠ k DO // For this to not give "out of bound" type
   errors, we assume the operation AND is implemented efficiently, that is, if the first condition fails,
   AND is already false, and the second condition is not even checked.
9:     INC(x)
10:  IF x > end[A] THEN // The while loop stopped either because we have found x such that
   key[Ax] = k, or because x > end[A].
11:     x ← 0
12:  RETURN(x)
```

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**Algorithm 5** Binary search in *ordered* array, iterative version

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```
1: BIN_SEARCH_IT(A,k,@x)
2: // INPUT: array A, key k to search
3: // OUTPUT: x index so that key[Ax]=k or 0 if no such record is found
4: IF length[A] = 0 THEN
5:     x ← 0
6:     RETURN(x)
7: a ← head[A]
8: b ← end[A]      // These are the first and last index of the subsequence we are still searching.
9: WHILE a ≤ b DO
10:    c ←  $\lfloor \frac{a+b}{2} \rfloor$       // calculate midpoint
11:    IF key[Ac] = k THEN
12:        x ← c
13:        RETURN(x)
14:    ELSE IF k < key[Ac] THEN
15:        b ← c - 1      // search in first half
16:    ELSE      // necessarily key[Ac] < k
17:        a ← c + 1      // search in second half
18: x ← 0      // If we did not return yet, k was not found.
19: RETURN(x)
```

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**Algorithm 6** Delete from doubly linked list

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```
1: LL_DEL(L,x)
2: // INPUT: L linked list, x (non-NIL) pointer of an element to delete
3: IF x ≠ NIL THEN
4:     y ← prev[x]
5:     z ← next[x]
6:     IF y = NIL THEN
7:         head[L] ← z
8:     ELSE
9:         next[y] ← z
10:    IF z = NIL THEN
11:        end[L] ← y
12:    ELSE
13:        prev[z] ← y
14: RETURN()
```

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**Algorithm 7** Operations (push and pop) in queue, with linked list implementation

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```
1: QUEUE_PUSH_LL(Q,x)
2: // INPUT: Q singly linked list for storing the queue, x pointer of new element
3: y ← end[Q]
4: IF y ≠ NIL THEN
5:   next[y] ← x
6: next[x] ← NIL
7: end[Q] ← x
8: RETURN()

9: QUEUE_POP_LL(Q,@x)
10: // INPUT: Q singly linked list for storing the queue
11: // OUTPUT: x pointer of the first element, or NIL if the queue is empty
12: x ← head[Q]
13: IF x ≠ NIL THEN
14:   head[Q] ← next[x]
15: RETURN(x)
```

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**Algorithm 8** Search in open address hash table

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```
1: SEARCH(T,k,@i)
2: // INPUT: T hash table, k key
3: // OUTPUT: i index so that key[Ti] = k or NIL
4: t ← 0
5: i ← h0(k)
6: s ← 1 + (k mod (N - 1)) // h1 function, stepsize – for double hash
7: WHILE t ≤ N - 1 AND (status[Ti] = D OR (status[Ti] = O AND key[Ti] ≠ k)) DO
8:   INC(t)
9:   i ← h(k, t) // general form, to be replaced
10:  i ← i + c // linear trial, with general stepsize c
11:  i ← i + t // quadratic trial, specific case
12:  i ← i + s // double hash
13: IF t = N or status[Ti] = F THEN // key k was not found
14:   i ← NIL
   // Otherwise we have found i such that key[Ti] = k.
15: RETURN(i)
```

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**Algorithm 9** Partition algorithm

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```
1: PARTITION(@A,a,b,x,@q)
2: // INPUT: A: array, a and b: first and last index of the interval to partition, x: the pivot, an
   element of A, within the index interval  $[a, b]$ .
3: // OUTPUT: A array partitioned, “small”  $\leq x$  elements on the left, “large”  $\geq x$  elements on the
   right; q boundary of the partition: index of the rightmost “small” element //  $A_a, \dots, A_q \leq x,$ 
    $A_{q+1}, \dots, A_b \geq x$ .
4:  $i \leftarrow a - 1$  // Pointer sweeping from left, searching “large” elements that do not fit on the left.
5:  $j \leftarrow b + 1$  // Pointer sweeping from right, searching “small” elements that do not fit on the
   right.
6: WHILE TRUE DO // We may write  $i < j$ , but the effect is the same, we quit the loop on the
   RETURN command anyways.
7:   REPEAT
8:     INC(i)
9:   UNTIL  $A_i \geq x$ 
10:  REPEAT
11:    DEC(j)
12:  UNTIL  $A_j \leq x$ 
13:  IF  $i < j$  THEN
14:    swap  $A_i \leftrightarrow A_j$ 
15:  ELSE //  $i \geq j$  means the partition is complete.
16:     $q \leftarrow j$  //  $q = j$  is the rightmost “small” element
17:    RETURN(A,q) // We reach this state sooner or later.
18: RETURN() // In fact superfluous.
```

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**Algorithm 10** The merge algorithm

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```
1: MERGE(A,B,@C)
2: // INPUT: sorted sequences A and B
3: // OUTPUT: sorted union C, length[C] = length[A]+length[B]
4: length[C] ← length[A]+length[B]
5:  $i \leftarrow 1$  // Index in A.
6:  $j \leftarrow 1$  // Index in B.
7:  $k \leftarrow 1$  // Index in C.
8: WHILE  $i \leq \text{length}[A]$  AND  $j \leq \text{length}[B]$  DO
9:   IF  $A_i \leq B_j$  THEN
10:     $C_k \leftarrow A_i$ 
11:    INC(i)
12:    INC(k)
13:   ELSE
14:     $C_k \leftarrow B_j$ 
15:    INC(j)
16:    INC(k)
17: WHILE  $i \leq \text{length}[A]$  DO
18:    $C_k \leftarrow A_i$ 
19:   INC(i)
20:   INC(k)
21: WHILE  $j \leq \text{length}[B]$  DO
22:    $C_k \leftarrow B_j$ 
23:   INC(j)
24:   INC(k)
25: RETURN(C)
```

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**Algorithm 11** Common subroutines for single source shortest paths algorithms

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```
1: INITIALIZE_SP_SS(G,s,@d,@ $\pi$ )
2: // INPUT: graph  $G$  and source  $s$ 
3: // OUTPUT:  $d$ : vector of distance estimates,  $\pi$  vector of parents
4: FOR EACH  $v \in V$  DO
5:    $d[v] \leftarrow \infty$ 
6:    $\pi(v) \leftarrow \text{NIL}$ 
7:  $d[s] \leftarrow 0$ 
8: RETURN( $d,\pi$ )

9: UPDATE_SP_SS( $u,v,@d,@\pi$ )
10: // INPUT: edge  $e = (u,v)$  to update with
11: // OUTPUT:  $d, \pi$ : distance estimate and parent array updated
12: IF  $d[u] + w(u,v) < d[v]$  THEN
13:    $d[v] \leftarrow d[u] + w(u,v)$ 
14:    $\pi(v) \leftarrow u$ 
15: RETURN( $d,\pi$ )
```

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**Algorithm 12** Bellman-Ford algorithm

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```
1: BELLMAN_FORD( $G, w, s, \text{bool}, @d, @\pi$ )
2: // INPUT:  $G$  directed graph,  $w$  weight function,  $s$  source
3: // OUTPUT:  $d$ : vector of distance estimates,  $\pi$ : vector of parents,  $\text{bool}$ : is the result valid, TRUE
   or FALSE
4:  $d, \pi \leftarrow \text{INITIALIZE\_SP\_SS}(G, s)$ 
5: FOR  $i \leftarrow 1$  TO  $|V| - 1$  DO
6:   FOR EACH  $e = (u, v) \in E$  DO
7:      $d, \pi \leftarrow \text{UPDATE\_SP\_SS}(u, v)$ 
8: FOR EACH  $e = (u, v) \in E$  DO // Check for negative cycle.
9:   IF  $d[u] + w(u, v) < d[v]$  THEN
10:    RETURN(FALSE)
11: RETURN(TRUE,  $d, \pi$ )
```

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## References

- [1] Attila Házy. *Adatstruktúrák és algoritmusok, Definíciók és tételek 1.* [https://www.uni-miskolc.hu/~matha/adatstr\\_definiciok\\_tetelek.pdf](https://www.uni-miskolc.hu/~matha/adatstr_definiciok_tetelek.pdf).
- [2] Attila Házy. *Adatstruktúrák és algoritmusok, Definíciók és tételek 2.* [https://www.uni-miskolc.hu/~matha/adatstr\\_definiciok\\_tetelek\\_2zh.pdf](https://www.uni-miskolc.hu/~matha/adatstr_definiciok_tetelek_2zh.pdf).